#### CONFIDENTIAL

Case 3:13-cv-03127-N-BG Document 1-3 Filed 03/11/13 Page 1 of 11 PageID 16

TROY LILLIE, ET AL. \* DOCKET NO. 581,670 SECTION 24

VERSUS \*

\* 19<sup>th</sup> JUDICIAL DISTRICT COURT

STANFORD TRUST COMPANY,
STATE OF LOUISIANA, OFFICE

\* PARISH OF EAST BATON ROUGE

OF FINANCIAL INSTITUTIONS, \*
AND SEI INVESTMENTS \* STATE OF LOUISIANA

> PLAINTIFFS' TROY LILLE, ET AL. FIRST AMENDED AND RESTATED CLASS ACTION PETITION

# FILED UNDER SEAL

THIS PLEADING IS FILED UNDER SEAL PURSUANT TO CONFIDENTIALITY AND PROTECTIVE ORDERS DATED AUGUST 27, 2010 AND FEBRUARY 2, 2011 TROY LILLIE, ET AL.

DOCKET NO. 581670 SECTION 24

VERSUS

STANFORD TRUST COMPANY, STATE OF LOUISIANA, OFFICE OF FINANCIAL INSTITUTIONS, AND SEI INVESTMENTS COMPANY

19th JUDICIAL DISTRICT COURT

PARISH OF EAST BATON ROUGE

STATE OF LOUISIANA

# ON CERTAIN UNDERWRITERS AT LLOYD'S OF LONDON

STATE OF LOUISIANA

PARISH OF EAST BATON ROUGE

BEFORE ME, the undersigned authority, personally came and appeared JENNIFER R. DIETZ, who after being duly sworn, said that:

On the 7th day of February, 2013, she served a certified copy of the Motion for Leave to File the First Amended and Restated Class Action Petition with signed Order, the First Amended and Restated Class Action Petition filed by Plaintiffs, Plaintiffs' First Amendment to Original Petition for Damages (IRA), and Plaintiffs' Original Petition (Class Action) in the above referenced and captioned matter in this action under the Louisiana Long Arm Statute, La. R.S. 13:3201, et seq., by commercial courier, Federal Express, having tracking number 794703253033, to Certain Underwriters at Lloyd's of London, through its agent for service of process, Wilson, Elser, Moscowitz, Edelman & Dicker, 120 N. LaSalle Street, Chicago, IL 60602, as indicated on the Errors and Omissions insurance policies, said tracking receipt being attached herein as Exhibit A. On the 8th day of February, 2013, Federal Express advised of delivery to the updated address of the present location of Wilson, Elser, Moscowitz, Edelman & Dicker at 55 West Monroe Street, Chicago, IL 60603. The proof-of-delivery receipt pertaining to the aforesaid mailing at the present location, after having been duly received and signed by an agent, J. Molina, for the addressee on the 8th day of February, 2013, as indicated by the said proof-of-delivery receipt, is attached hereto as **Exhibit B**.

WORN TO AND SUBSCRIBED BEFORE ME this 13th day of February, 2013. FAST BATON RÖUGE PARISH.LA

Crystal D. Burkhalter Bar Roll No. 27396 NOTARY PUBLIC

My Commission Expires at Death

#### Jennifer Dietz

From:

trackingupdates@fedex.com

Sent:

Thursday, February 07, 2013 3:02 PM

To: Subject: Jennifer Dietz
Tendered to FedEx

This tracking update has been requested by:

Company Name:

PREIS GORDON

Name:

PREIS GORDON

E-mail:

courtney@preislaw.com

This shipment was tendered to FedEx Express on 02/07/2013.

Reference information includes:

Reference:

2342.007

Estimated delivery:

Feb 8, 2013

Service type:

FedEx Standard Overnight

Packaging type:

FedEx Pak

Number of pieces:

3

Weight:

0.50 lb.

Special handling/Services:

Adult Signature Required

Deliver Weekday

Picked up

1:

ng number:

794703253033



do not respond to this message. This email was sent from an unattended  $\mathfrak{t}$ . This report was generated at approximately 3:02 PM CST on  $\mathfrak{t}$ 013.

To learn more about FedEx Express, please visit our website at fedex.com.

All weights are estimated.

Estimated Delivery displayed above is not valid for Money-Back Guarantee or delay claim purposes. Shipments delayed because of Customs or other regulatory delays are not subject to refund or credit under FedEx Money-Back Guarantee Policy. Please see FedEx Service Guide for terms and conditions of service, including FedEx Money-Back Guarantee. For more information, please contact your FedEx Customer Support representative.

To track the latest status of your shipment, click on the tracking number above, or visit us at  $\underline{\text{fedex.com}}$ .

This tracking update has been sent to you by FedEx on the behalf of the Requestor noted above. FedEx does not validate the authenticity of the requestor and does not validate, guarantee or warrant the authenticity of the request, the requestor's message, or the accuracy of this tracking update.



East Baton Ro Gaseis 11 Skotve 03 127-N-BG Document 1-3 Filed 03/11/13 Page 4 of 11 Page ID 19 Page 2 of 3

For tracking results and fedex.com's terms of use, go to fedex.com.

Thank you for your business.

FedBit Eupress Customer Support Domestic Trace 3875 Autways Boulevard Modula H. 4th Floor Mamphis, TN 38116 U.S. Mail. PO Box 727 Memphis, TN 38194-4643 Telephone 901-369-3600



February 08,2013

JENNIFER DIETZ (225) 344-0510

Dear JENNIFER DIETZ:

Our records reflect the following delivery information for the shipment with the tracking number 794703253033.

**Delivery Information:** 

Signed For By: J.MOLINA

of much

Delivered to: 55 W MONROE

Delivery Date: February 08, 2013

Delivery Time: 03:18 PM

Shipping Information:

Shipment Reference Information: 2342,007

Tracking No: 794703253033

Ship Date: February 07, 2013

Shipper: MARILYN STARKS

PREIS GORDON

450 LAUREL STREET

SUITE 2150 - CHASE ONE

NORTH T

BATON ROUGE, LA 70801

US

Recipient: WILSON, ELSER,

MOSCOWITZ, EDEL

CERT.UNDERWRITERS-LLOYD

S OF LO 55 W MONROE CHICAGO, IL 60603

US

Thank you for choosing FedEx Express. We look forward to working with you in the future.

FedEx Worldwide Customer Service 1-800-Go-FedEx (1-800-463-3339) Reference No: R2013020802450362362

This Information is provided subject to the FedEx Service Guide.



TROY LILLIE, ET AL (Plaintiff)

NUMBER C581670 SECTION 24 19th JUDICIAL DISTRICT COURT

Vs.

TATES OF THE STATE OF THE STATE

PARISH OF EAST BATON ROUGE

STANFORD TRUST COMPANY, ET AL (Defendant)

STATE OF LOUISIANA

TO: ALLIED WORLD ASSURANCE COMPANY
THROUGH ITS AGENT FOR SERVICE OF PROCESS
LOUISIANA SECRETARY OF STATE

Attached to this citation are certified copies of the Original and Supplemental and Amended Petitions\*. The petitions tell you what you are being sued for.

You must EITHER do what the petitions asks OR, within fifteen (15) days after you have received these documents, you must file an answer or other legal pleadings in the office of the Clerk of Court at 300 North Boulevard, Baton Rouge, Louisiana.

If you do not do what the petitions asks, or if you do not file an answer or legal pleading within fifteen (15) days, a judgment may be entered against you without further notice.

This citation was issued by the Clerk of Court for the East Baton Rouge Parish on 06-FEB-2013.

	Jessey John
	Derry Clerk of Court for
	Done Welborn, Clerk of Court
Requesting Attorney: PHILLIP WESLIEV PREIS	A STATE OF THE PROPERTY OF THE
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*Also attached are the following documents: FIRST AMENDED AND RESTATED CLASS ACTION	FB Wone
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RETURNED: Parish of East Haton Rouge, this	Gay or
SERVICE: \$	
MILEAGE \$	Deputy Sheriff
TOTAL: \$	Parish of East Baton Rouge

ORIGINAL AND SUPPLEMENTAL AND AMENDED CITATION -2408

FILED
FEB 14 2013
DEPUTY CLERK OF COURT





NUMBER C581670 SECTION 24 TROY LILLIE ET AL 19th JUDICIAL DISTRICT COURT (Plaintiff) Vs. PARISH OF EAST BATON ROUGE STANFORD TRUST COMPANY, ET AL STATE OF LOUISIANA (Defendant) ARCH INSURANCE COMPANY THROUGH ITS AGENT FOR SERVICE OF PROCESS LOUISIANA SECRETARY OF STATE Attached to this citation are certified copies of the Original and Supplemental and Amended Petitions\*. The petitions tell you what you are being sued for. You must EITHER do what the petitions asks OR, within fifteen (15) days after you have received these documents, you must file an answer or other legal pleadings in the office of the Clerk of Court at 300 North Boulevard, Baton Rouge, Louisiana. If you do not do what the patitions asks, or if you do not file an answer or legal pleading within fifteen (15) days, a judgment may be entered against you without further notice. This citation was issued by the Clerk of Court for the East Baton Rouge Parish, on 06-FEB-2013. Requesting Attorney: PHILLIP WESLEY PRI \*Also attached are the following documents; FIRST AMENDED AND RESTATED CI ORIGINAL PETITION, MOTION FOR Received on the on the above named party as follows: PERSONAL SERVICE: On the party herein named DOMICILIARY SERVICE: On the within named a person of suitable age and discretion residing in the said domicile at in this parish in the hands of DEPUTY SECRETARY OF STATE: By tendering same to the within named, by handing same to DUE AND DILIGENT: After diligent search and inquiry, was unable to find the within a

ORIGINAL AND SUPPLEMENTAL AND AMENDED CITATION -2408

Deputy Sheriff

Parish of East Baton Rouge

FILED

FEB 142013

UT GALWOLL

DEPUTY CLERK OF COURT



SERVICE:

MILEAGE

TOTAL:



his domicile, or anyone legally authorized to represent him.

RETURNED: Parish of East Baton Rouge, this

NUMBER C581670 SECTION 24

# ORIGINAL AND SUPPLEMENTAL AND AMENDED CITATION

TROY (Plaint	Y LILLIE ET AL	19th JUDICIAL DISTRICT COURT
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Vs.	•	PARISH OF EAST BATON ROUGE
STAN (Defen	IFORD TRUST COMPANY, ET AL	STATE OF LOUISIANA
TO:	CONTINENTAL CASUALTY COMPANTHROUGH ITS AGENT FOR SERVICE LOUISIANA SECRETARY OF STATE	
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ORIGINAL AND SUPPLEMENTAL AND AMENDED CITATION -2408

FILED
FEB 14,2013
DEPUTY CLERK OF COURT





TROY LILLIE ET AL (Plaintiff)

NUMBER C581670 SECTION 24 19th JUDICIAL DISTRICT COURT

Vs.

PARISH OF EAST BATON ROUGE

STANFORD TRUST COMPANY, ET AL (Defandant)

STATE OF LOUISIANA

TO: ENDURANCE SPECIALTY INSRUANCE, LTD
THROUGH ITS AGENT FOR SERVICE OF PROCESS
LOUISIANA SECRETARY OF STATE

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Requesting Attorney: PHILLIP WESLEY PRIS  *Also attached are the following documents:  **REPST AMENDED AND RESTATED CRASS ACTION ANTITIONS:  **REPST AMENDED AND RESTATED CRASS ACTION ANTITIONS:				
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ORIGINAL AND SUPPLEMENTAL AND AMENDED CITATION -2408



FILED

FEB 14,2013

LITH CHURCH

DEPUTY CLERK OF COURT

TROY LILLIE ET AL

(Plaintiff)

19th JUDICIAL DISTRICT COURT

Vs.

PARISH OF EAST BATON ROUGE

STANFORD TRUST COMPANY, ET AL

(Defendant)

TO: INDIAN HARBOR INSURANCE COMPANY
THROUGH ITS AGENT FOR SERVICE OF PROCESS
LOUISIANA SECRETARTY OF STATE

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Requesting Attorney: PHILLIP WESLEY PRI Also attached are the following documents FIRST AMENDED AND RESTATED CL ORIGINAL PETITION; MOTION FOR I Received on the day of on the above named party as follows: PERSONAL SERVICE: On the party herein named DOMICHIARY SERVICE: On the within named rson of suitable age and discretion residing in the said domicile at in this parish in the hands of \_\_ SECRETARY OF STATE: By tendering same to the within named, by handing same to DUE AND DILIGENT: After diligent search and inquiry, was unable to find the within named his domicile, or anyone legally authorized to represent him. RETURNED: Parish of East Baton Rouge, this SERVICE: Deputy Sheriff MILEAGE Parish of East Baton Rouge TOTAL:

ORIGINAL AND SUPPLEMENTAL AND AMENDED CITATION -2408

FILED

FEB 14/2013

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DEPUTY CLERK OF COURT





ORIGINAL AND SUPPLEMEN	TAL AND AMENDED CITATION
	NUMBER C581670 SECTION 24
TROY LILLIE ET AL (Paintiff)	19th JUDICIAL DISTRICT COURT
Vs.	PARISH OF EAST BATON ROUGE
STANFORD TRUST COMPANY, ET AL (Defendant)	STATE OF LOUISIANA
TO: NUTMEG INSURANCE COMPANY THROUGH ITS AGENT FOR SERVICE LOUISIANA SECRETARY OF STATE	E OF PROCESS
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ORIGINAL AND SUPPLEMENTAL AND AMENDED CITATION -2408\_ | LED

Parish of East Baton Rouge



